

DEALER LIABILITY CHECKLIST

Potential liabilities are rampant throughout any dealership. Take a moment to review each department at your dealership and check off any areas where you think you might have some exposure to risk.

SERVICE AND PARTS DEPARTMENT

- Clean Air Act
- Clean Water Act
- DOT Hazardous Materials Handling Procedures
- IRS Core Inventory Valuation
- LIFO/FIFO Inventory Accounting Method
- NHTSA Tampering Regulations and Tire Rules
- OSHA Standards and Regulations
- Resource Conservation and Recovery Act
- Safe Drinking Water Act
- Superfund

CONSUMER COMMUNICATIONS

- CAN-SPAM Act
- Information Privacy
- FTC Guidelines for Fuel-Mileage Advertising
- FTC Privacy Rule
- FTC Prohibition Against Deceptive and Unfair Trade Practices
- FTC Telemarketing Sales Rule
- Required Disclosures
- Telephone Consumer Protection Act
- Truth in Advertising

GENERAL MANAGEMENT/PERSONNEL

- Age Discrimination in Employment Act
- Americans with Disabilities Act
- COBRA
- Code of Ethics
- Employee Drug Testing
- Employee Polygraph Protection Act
- Equal Pay Act
- Family and Medical Leave Act
- Federal Child-Support Enforcement Regs
- Federal Wage-Hour and Child Labor laws
- Health Insurance Portability and Accountability Act
- IRS Treatment of Car Shuttlers and Demo Vehicles
- Federal Civil Rights Act
- Immigration Reform and Control Act
- Mandatory Workplace Posters
- Mental Health Parity Act
- Repossession Rule
- Revenue Recognition
- Section 179 Expensing
- Sexual Harassment
- Substance Abuse
- Tax Reform Act
- USERRA
- Workers' Compensation

F&I SALES

- Consumer Leasing, Regulation M
- Equal Credit Opportunity Act
- Fair Credit Reporting Act
- Fair Debt Collections Practices Act
- FTC Credit Practices Rule
- Gramm-Leach-Bliley Act
- OFAC Regulations
- Producer-Owned Reinsurance Companies
- Truth in Lending, Regulation Z
- USA PATRIOT Act, Cash Reporting Rule

VEHICLE SALES AND CUSTOMER HANDLING

- American Automobile Labeling Act
- Credit Card Processing
- Deceptive/Unfair Trade Practices
- DOE/EPA Gas Mileage Guide
- Drivers Privacy Protection Act
- EPA Emissions Certification
- FTC Door-to-Door Sales Rule, Guidelines for Fuel-Mileage Labeling, Used-Car Rule, and Written Warranty Rule
- IRS Treatment of Salesperson Incentives
- LIFO Inventory Accounting Method
- Magnussen-Moss Act
- Monroney Sticker
- NHTSA Regulations
- Payment Packing
- Sales to Minors
- Spot Deliveries
- Straw Purchase

BODY SHOP

- Clean Air Act
- EPA Hazardous Waste Rules
- OSHA Standards and Regulations
- VIN and Parts Marking

GOT FIFTEEN MINUTES? Now that you've identified potential problem areas/issues in your dealership, you can schedule a 15-minute Compliance Appraisal with one of Compli's experienced representatives. Find out in very real terms what risks you currently face and how best to prevent them.

Call today or schedule an appraisal online:
1.866.294.5545
www.compli.com



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Company: _____

Phone: _____ State: _____

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